

INVESTMENTS

FINN 3222-002

Fall 2009: Mondays and Wednesdays 9:30 – 10:45

Friday 15

Instructor: Lindsay Baran

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Office Hours: (also by appointment)

Monday: 1:00 – 2:30

Wednesday: 1:00 – 2:30

REQUIRED MATERIALS

Essentials of Investments, Bodie, Z., A. Kane, and A.J. Marcus, 7th edition

COURSE DESCRIPTION

Major topics are security analysis and portfolio management. The viewpoint is that of the investment professional concerned with evaluation of individual securities and management of security portfolios. FINN 3120 is a prerequisite for this course.

MOODLE

Course material, grades, and some assignments will be delivered through Moodle. Students can access Moodle at <http://moodle.uncc.edu/>. Help in using Moodle can be found at <http://helpdesk.uncc.edu>. The Moodle page will contain Power Point slides used for lectures as well as in-class problems, selected homework and exam review problems. Note that the lecture slides are an outline of material covered in class and will not contain example problems done in class. In addition, discussion boards will be used to answer questions pertinent to the entire class. Any announcements for the course will be posted on the Moodle site, and students are responsible for checking on at least a weekly basis. Students are also responsible for checking their UNC Charlotte student email for other important communication.

CODES OF CONDUCT

Regular attendance, promptness and appropriate classroom decorum is expected. Frequent tardiness or disruptive classroom behavior may result in a substantial grade penalty at the discretion of the instructor. Any use of cell phones during class is disruptive and will not be tolerated. Students may not use cell phones during an exam.

Academic dishonesty is not tolerated by The Belk College of Business or the instructor. Students are expected to be familiar with the university's academic integrity policy. This policy can be found online at <http://www.legal.uncc.edu/policies/ps-105.html>. Violations will be dealt with in the manner specified by the most recent edition of the *UNC-Charlotte Code of Student Academic Integrity*.

GRADING POLICY

Final Exam – 30%

2 Tests – 25%, 25%,

Homework – 10%

Quizzes – 10%

TEST/EXAM POLICY & REQUIREMENTS

There will be two exams during the course of the semester as well as a cumulative final exam. All tests and exams will have a mixed multiple choice and essay format. A financial calculator is needed for the exams.

MISSED EXAMS

The dates for the first three exams are firm, and the final exam will be given according to the university's final exam schedule. Make-up exams will not be given barring extreme circumstances. You must provide documentation within one week of the missed exam of the reason for absence by a medical doctor or appropriate UNC-Charlotte official. No make-up provision is available for tests missed due to oversleeping, lack of preparation, vacation, social events (including weddings), traffic, etc.

HOMEWORK AND QUIZZES

Periodically, homework and quizzes will be given in the class. Homework may be assigned to be turned in through Moodle or in hard copy. Pay attention to how assignments must be submitted. Quizzes may be announced or unannounced, and the lowest quiz grade will be dropped for all students. Quizzes can not be made up. At times, in-class activities (group or individual) may count as a quiz grade.

STATEMENT ON DIVERSITY

The Belk College of Business strives to create an inclusive academic climate in which the dignity of all individuals is respected and maintained. Therefore, we celebrate diversity that includes but is not limited to ability/disability, age, culture, ethnicity, gender, language, race, religion, sexual orientation, and socio-economic status.

DISABILITY SERVICES

Students seeking accommodations for disabilities must first consult with the Office of Disability Services and follow instructions from that office for obtaining accommodations

Tentative Schedule

Monday, August 24, 2009	Syllabus; Chapter 1
Wednesday, August 26, 2009	Chapters 2/3
Monday, August 31, 2009	Chapters 2/3
Wednesday, September 02, 2009	Chapter 4
Monday, September 07, 2009	Labor Day - No Classes
Wednesday, September 09, 2009	Chapter 4
Monday, September 14, 2009	Chapter 5
Wednesday, September 16, 2009	Chapter 5
Monday, September 21, 2009	Chapter 6
Wednesday, September 23, 2009	Exam 1
Monday, September 28, 2009	Chapter 6
Wednesday, September 30, 2009	Chapter 7
Monday, October 05, 2009	Chapter 7
Wednesday, October 07, 2009	Chapter 8
Monday, October 12, 2009	Fall Break - No Class
Wednesday, October 14, 2009	Chapter 8
Monday, October 19, 2009	Chapter 10
Wednesday, October 21, 2009	Chapter 10
Monday, October 26, 2009	Chapter 11
Wednesday, October 28, 2009	Chapter 11
Monday, November 02, 2009	Chapter 13
Wednesday, November 04, 2009	Exam 2
Monday, November 09, 2009	Chapter 13
Wednesday, November 11, 2009	Chapter 14
Monday, November 16, 2009	Chapter 15
Wednesday, November 18, 2009	Chapter 15
Monday, November 23, 2009	Chapter 16
Wednesday, November 25, 2009	Thanksgiving Break - No Class
Monday, November 30, 2009	Chapter 16
Wednesday, December 02, 2009	Chapter 17
Monday, December 07, 2009	Chapter 17
Wednesday, December 09, 2009	Recap course and review
Monday, December 14, 2009	
Wednesday, December 16, 2009	Final Exam 8:00 - 11:00